



**ECONOMIC DEVELOPMENT ADMINISTRATION  
 CONSTRUCTION INVESTMENTS  
 Program Requirements**

	<b>Page</b>	
<b>PWEDA Section 201</b> <i>(CFDA No. 11.300)</i> Public Works and Economic Development Investments  <b>PWEDA Section 209</b> <i>(CFDA No. 11.307)</i> Economic Adjustment Assistance Construction Investments	Form SF-424C, Budget Information - Construction Programs	3
	Form SF-424D, Assurances - Construction Programs	5
	EDA Additional Assurances	7
	Part I: Program Requirements	8
	A. Metropolitan Area Review	8
	B. Regional Eligibility Requirements	8
	C. Comprehensive Economic Development Strategy	8
	D. District Organization Administration of a Project	9
	Part II: Architecture/Engineering Information	9
	A. Engineering Report for Construction Investments	9
	B. Projects for Design and Engineering Work	9
	Part III: Ownership/Operating/Financing	10
	A. Title Requirements	10
	B. Sale or Lease	11
	C. Ownership, Operation and Maintenance	11
	D. Calculation of Estimated Relocation and Land Acquisition Expenses	12
	Part IV: Environmental Requirements	12
	A. Environmental Narrative	12
	B. Historic/Archaeological Resources	15
Part V: Additional Program Requirements	16	
A. Other Parties' Assurances of Compliance with Civil Rights and Other Legal Requirements	16	
Part VI: Maximum Allowable EDA Investment Rates	16	
Checklist of Program Exhibits	18	

**Application for Investment Assistance (Form ED-900A)**  
**OMB Control No. 0610-0094**  
**Expires 04/30/2009**

Notwithstanding any other provision of the law, no person is required to respond to, nor shall any person be subject to a penalty for failure to comply with, a collection of information subject to the requirements of the Paperwork Reduction Act, unless the collection of information displays a currently valid OMB Control Number.

The information requested in the Application for Investment Assistance is required to obtain or retain benefits from EDA pursuant to the Public Works and Economic Development Act of 1965, as amended (42 U.S.C. 3121 *et seq.*). The reasons for collecting this information are to enable applicants to apply for financial assistance, and to assist EDA in determining applicants' eligibility and compliance with legal and programmatic requirements. The information submitted on the application and in accompanying documents is subject to public disclosure under the Freedom of Information Act, as amended (5 U.S.C. 552), unless exempt from disclosure as trade secrets or privileged or confidential commercial or financial information under 5 U.S.C. 552(b)(4).

The public reporting burden for this collection of information is estimated to average 38 hours per response, including time for reviewing instructions, gathering data, and completing the application. Comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing paperwork burden may be sent to: Economic Development Administration, U.S. Department of Commerce, 1401 Constitution Avenue, N.W., Washington, D.C. 20230, and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Washington, D.C. 20503.

**BUDGET INFORMATION - Construction Programs**

NOTE: Certain Federal assistance programs require additional computations to arrive at the Federal share of project costs eligible for participation. If such is the case, you will be notified.

COST CLASSIFICATION	a. Total Cost	b. Costs Not Allowable for Participation	c. Total Allowable Costs (Columns a-b)
1. Administrative and legal expenses	\$ .00	\$ .00	\$ .00
2. Land, structures, rights-of-way, appraisals, etc.	\$ .00	\$ .00	\$ .00
3. Relocation expenses and payments	\$ .00	\$ .00	\$ .00
4. Architectural and engineering fees	\$ .00	\$ .00	\$ .00
5. Other architectural and engineering fees	\$ .00	\$ .00	\$ .00
6. Project inspection fees	\$ .00	\$ .00	\$ .00
7. Site work	\$ .00	\$ .00	\$ .00
8. Demolition and removal	\$ .00	\$ .00	\$ .00
9. Construction	\$ .00	\$ .00	\$ .00
10. Equipment	\$ .00	\$ .00	\$ .00
11. Miscellaneous	\$ .00	\$ .00	\$ .00
12. SUBTOTAL (sum of lines 1-11)	\$ .00	\$ .00	\$ .00
13. Contingencies	\$ .00	\$ .00	\$ .00
14. SUBTOTAL	\$ .00	\$ .00	\$ .00
15. Project (program) income	\$ .00	\$ .00	\$ .00
16. TOTAL PROJECT COSTS (subtract #15 from #14)	\$ .00	\$ .00	\$ .00
<b>FEDERAL FUNDING</b>			
17. Federal assistance requested, calculate as follows: (Consult Federal agency for Federal percentage share.) Enter the resulting Federal share.	Enter eligible costs from line 16c Multiply X _____%		\$ .00

## INSTRUCTIONS FOR THE SF-424C

Public reporting burden for this collection of information is estimated to average 180 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0041), Washington, DC 20503.

**PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.**

This sheet is to be used for the following types of applications: (1) "New" (means a new [previously unfunded] assistance award); (2) "Continuation" (means funding in a succeeding budget period which stemmed from a prior agreement to fund); and (3) "Revised" (means any changes in the Federal Government's financial obligations or contingent liability from an existing obligation). If there is no change in the award amount, there is no need to complete this form. Certain Federal agencies may require only an explanatory letter to effect minor (no cost) changes. If you have questions, please contact the Federal agency.

Column a. - If this is an application for a "New" project, enter the total estimated cost of each of the items listed on lines 1 through 16 (as applicable) under "COST CLASSIFICATION."

If this application entails a change to an existing award, enter the eligible amounts approved under the previous award for the items under "COST CLASSIFICATION."

Column b. - If this is an application for a "New" project, enter that portion of the cost of each item in Column a. which is not allowable for Federal assistance. Contact the Federal agency for assistance in determining the allowability of specific costs.

If this application entails a change to an existing award, enter the adjustment [+ or (-)] to the previously approved costs (from column a.) reflected in this application.

Column. - This is the net of lines 1 through 16 in columns "a." and "b."

Line 4 - Enter estimated basic engineering fees related to construction (this includes start-up services and preparation of project performance work plan).

Line 5 - Enter estimated engineering costs, such as surveys, tests, soil borings, etc.

Line 6 - Enter estimated engineering inspection costs.

Line 7 - Enter estimated costs of site preparation and restoration which are not included in the basic construction contract.

Line 9 - Enter estimated cost of the construction contract.

Line 10 - Enter estimated cost of office, shop, laboratory, safety equipment, etc. to be used at the facility, if such costs are not included in the construction contract.

Line 11 - Enter estimated miscellaneous costs.

Line 12 - Total of items 1 through 11.

Line 13 - Enter estimated contingency costs. (Consult the Federal agency for the percentage of the estimated construction cost to use.)

Line 14 - Enter the total of lines 12 and 13.

Line 15 - Enter estimated program income to be earned during the grant period, e.g., salvaged materials, etc.

Line 16 - Subtract line 15 from line 14.

Line 17 - This block is for the computation of the Federal share. Multiply the total allowable project costs from line 16, column "c." by the Federal percentage share (this may be up to 100 percent; consult Federal agency for Federal percentage share) and enter the product on line 17.

---

Line 1 - Enter estimated amounts needed to cover administrative expenses. Do not include costs which are related to the normal functions of government. Allowable legal costs are generally only those associated with the purchases of land which is allowable for Federal participation and certain services in support of construction of the project.

Line 2 - Enter estimated site and right(s)-of-way acquisition costs (this includes purchase, lease, and/or easements).

Line 3 - Enter estimated costs related to relocation advisory assistance, replacement housing, relocation payments to displaced persons and businesses, etc.

**ASSURANCES - CONSTRUCTION PROGRAMS**

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0042), Washington, DC 20503.

**PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.**

**NOTE:** Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the Awarding Agency. Further, certain Federal assistance awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of the project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the assistance; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will not dispose of, modify the use of, or change the terms of the real property title, or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal interest in the title of real property in accordance with awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure non-discrimination during the useful life of the project.
4. Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications.
5. Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that the complete work conforms with the approved plans and specifications and will furnish progress reports and such other information as may be required by the assistance awarding agency or State.
6. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
7. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
8. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards for merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
9. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
10. Will comply with all Federal statutes relating to non-discrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee-3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and, (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.

11. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
12. Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
13. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333) regarding labor standards for federally-assisted construction subagreements.
14. Will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
16. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
17. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).
18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL		TITLE
APPLICANT ORGANIZATION		DATE SUBMITTED

## EDA CONSTRUCTION INVESTMENTS ADDITIONAL ASSURANCES

As a duly authorized representative of the applicant, I further certify that the applicant:

1. Will operate and maintain the facility in accordance with at least the minimum standards as may be required or prescribed by applicable federal, State and local agencies for the maintenance and operation of such facilities.
2. Will require the facility to be designed to comply with the Americans with Disabilities Act of 1990 (ADA) (42 U.S.C. 12101 *et seq.*), the Architectural Barriers Act of 1968 (42 U.S.C. 4151 *et seq.*) and the Accessibility Guidelines for Buildings and Facilities regulations, as amended (36 CFR part 1191), and will be responsible for conducting inspections to insure compliance with these requirements.
3. For the two-year period beginning on the date EDA investment assistance is awarded, will refrain from employing, offering any office or employment to, or retaining for professional services any person who, on the date on which the investment assistance is awarded or within the one-year (1) period ending on that date, served as an officer, attorney, agent or employee of the Department of Commerce and occupied a position or engaged in activities that EDA determines involved discretion with respect to the award of investment assistance under PWEDA. See section 606 of PWEDA and 13 C.F.R. §302.10(b).
4. Will have no facilities under ownership, lease or supervision to be utilized in this project that are listed or under consideration for listing on EPA's List of Violating Facilities.
5. Will comply with Executive Order 12699, "Seismic Safety of Federal and Federally Assisted or Regulated New Building Construction," which imposes requirements that federally-assisted facilities be designed and constructed in accordance with the most current local building codes determined by the awarding agency or by the Interagency Committee for Seismic Safety in Construction (ICSSC) and the most recent edition of the American National Standards Institute Standards A58, Minimum Design Loads for Buildings and Other Structures.
6. Will observe and comply with federal procurement rules, as set forth in 15 CFR parts 14 or 24, as applicable, for award of any contracts for architectural engineering, grant administration services, or construction financed with EDA investment assistance.
7. Understands that attorneys' or consultants' fees, whether direct or indirect, expended for securing or obtaining EDA investment assistance are not eligible costs. See 13 C.F.R. § 302.10(a).
8. Understands that conflicts of interest or appearances of conflicts of interest are prohibited and may jeopardize this application, or result in the forfeiture of investment funds. A conflict of interest occurs, for example, where a representative, official, employee, architect, attorney, engineer or inspector of the applicant, or a representative or official of the Federal, State or local government, has a direct or indirect financial interest in the acquisition or furnishing of any materials, equipment or services to or in connection with the project. See 13 C.F.R. § 302.17.
9. Will comply with the reporting requirements under the Government Performance and Results Act of 1993 (GPRA) for measuring and reporting project performance.

Signature of Authorized Certifying Official	Title
Applicant Organization	Date

## PART I - PROGRAM REQUIREMENTS

### A. Metropolitan Area Review

Projects involving the development of a hospital, airport, library, water supply and distribution facilities, sewage and waste treatment works, highways, transportation facilities, water development or land conservation project, within a metropolitan statistical area (MSA), require comments from the metropolitan area clearinghouse/agency. See the Demonstration Cities and Metropolitan Development Act of 1966, as amended (42 U.S.C. 3331 *et seq.*). Does the proposed project involve any of the above-identified developments within an MSA?

If yes, provide the following (as **Exhibit I.A.**):

- Comments from the responsible metropolitan area clearinghouse/agency and a statement that such comments have been considered; or
- Explanation as to why comments are not available; or
- Indicate the date the application was made available to the appropriate metropolitan area clearinghouse/agency and units of general local government for review; and
- A certification from the applicant that the application has been before the metropolitan area clearinghouse/agency for a period of sixty (60) days without comments or recommendations.

No.

### B. Regional Eligibility Requirements

For regional eligibility based upon economic distress levels, identify the region in which the project will be located and submit documentation as **Exhibit I.B.** to verify regional eligibility.

EDA reviews the eligibility of the region in which the project will be located at the time a pre-application is received and again between the time an application is received and an official award is made, based upon the most recent federal American Community Survey (ACS) data available.

Where recent ACS data is not available, EDA will determine regional eligibility based upon the most recent federal data available from other sources. If no federal data are available, an applicant must submit to EDA the most recent data available through the government of the States in which the region is located. For regional eligibility based upon a Special Need (as defined in 13 C.F.R. § 300.3), EDA will conduct an independent analysis it deems necessary under the facts and circumstances of a given case. Applicants are encouraged to submit reliable data substantiating their Special Need claim with this application. See EDA's regulations at 13 C.F.R. § 301.3 for a detailed discussion of regional eligibility requirements.

### C. Comprehensive Economic Development Strategy

1. A comprehensive economic development strategy (CEDS) acceptable to EDA is required as part of an application. EDA's CEDS requirements are provided at 13 C.F.R. § 303.7. For more information, contact the Economic Development Representative (EDR) or EDA regional office serving your region. Attach a copy of the most recent EDA-approved CEDS for the region where the project will be located as **Exhibit I.C.1.**

2. Provide a narrative description of the activities proposed for EDA investment assistance and how they support the EDA-approved CEDS. Attach as **Exhibit I.C.2.**

3. Identify any special circumstances, such as a natural disaster or other emergency response, that may warrant EDA consideration and acceptance of a strategy or alternative planning document you wish to govern the proposed project (see 13 C.F.R. § 303.7(c)). Attach as **Exhibit I.C.3.**

**D. Will the District Organization for the region in which the project will be located administer the project for the recipient?**

- If yes, certify that administration of the project is beyond the capacity of the recipient's current staff and would require hiring additional staff or contracting for such services; that no local organization/business exists that could administer the project in a more efficient or cost-effective manner than the District Organization; and that the District Organization would administer the project without subcontracting the work. See 13 C.F.R. § 305.5. Attach as **Exhibit I.D.**
- No.

## **PART II - ARCHITECTURE/ENGINEERING INFORMATION**

### **A. Engineering Report for Construction Investments**

An engineering report must be submitted with the application as **Exhibit II.A.** and include (at a minimum) the following information:

1. Statement of project components. Indicate if the proposed project involves the construction of a new facility, or the enlargement, expansion, renovation, or replacement of an existing facility. Describe the existing facility and proposed project components in terms of dimensions, capacities, quantities, etc.
2. Provide clear copies of sketches or schematics showing the general layout and location of the project components.
3. Feasibility Analysis. Include a review of existing conditions. Discuss any potential problems that might delay construction and affect project components.
4. Proposed Method of Construction. Indicate whether the project will be constructed by competitive bid, single contract or multiple contracts. Indicate if any portion of the construction work is proposed to be done by design/bid/build, construction management at risk or by the recipient's own forces.
5. Estimate the useful life of the facility and the basis on which it is determined.
6. Include a current detailed construction cost estimate for each of the major project components, showing quantities, unit prices and total costs.
7. Identify all permits required for the proposed project and indicate the status of each permit.
8. Provide an estimate of the number of months for each of the following: (i) design period, (ii) solicitation of bids and awarding of contracts, and (iii) construction period.

### **B. Projects for Design and Engineering Work**

When the primary purpose of the EDA investment is to accomplish the design and engineering work required for the construction of a complex or environmentally sensitive public works or development facility project, the following information must be attached as **Exhibit II.B.**

1. A description of the components of the project for which the design and engineering work will be accomplished and the reasons why such work needs to be accomplished separately. For example, describe the highly specialized features or complex or environmentally sensitive nature of the project that require design and engineering work to be completed to determine the project's feasibility or to ensure that all required permits and approvals by State or federal regulatory authorities (e.g., EPA) can be obtained in the most effective and efficient manner possible. Even if the proposed project can be constructed in phases, the design and engineering work must be completed before construction can begin. *Note:* EDA will only award grants for design and engineering work when there is a reasonable expectation that construction of the project can and will begin soon after the completion of design and engineering. EDA's funding of the project for design and engineering work does not in any way obligate EDA to fund construction of the project. See 13 C.F.R. § 305.4.

2. **PWEDA Section 201 Public Works Projects Only:** A description of the scope of the work under this initial phase of the project, including an analysis of existing conditions and capacities of the sites where the project will be constructed and a discussion of the various alternatives, if any, that will be investigated to achieve the desired results.

3. A statement regarding the proposed method of financing and sources of funds that will be used to finance the construction of the public works or development facility project upon completion of the design and engineering work, including commitments made, if any, for the project's permanent financing and the likelihood that EDA or other federal assistance programs will be requested to provide funds for the construction of the project. *Note:* EDA cannot make a commitment against a future fiscal year's appropriation.

4. An estimate of the number of months required to complete the design and engineering work and an estimate of how many months after the design and engineering work is completed for construction to begin.

## PART III - OWNERSHIP/OPERATION/FINANCING

(EDA's property requirements are provided at 13 C.F.R. part 314)

### A. Title Requirements

1. Does the applicant hold title to all project facilities, underlying land, necessary easements and rights-of-way required for the project? If the title is not obtainable, does the applicant hold a long-term leasehold interest for a period not less than the estimated useful life of the project, or does the applicant have an agreement to purchase the property? Describe any required state permits, easements, rights-of-way or leases necessary to construct, operate and maintain the project. Provide information on liens, mortgages, other encumbrances, reservations, reversionary interests or other restrictions on the applicant's interest in the property. Provide information on industrial or commercial park acreage being developed under the project. Attach as **Exhibit III.A.** See 13 C.F.R. § 314.7.

Yes.

If no, explain how and when title will be obtained. Be specific; identify any existing liens or encumbrances, describe the timing and procedure for obtaining title, and identify who will own the land to be improved (including the industrial or commercial park). Attach as **Exhibit III.A.**

2. Is the project located on a military or Department of Energy installation that is closed or scheduled for closure or realignment?

If yes, does the applicant currently have title to or a long-term leasehold interest in the property for a specified term? If not, explain why EDA should not require the applicant to have title to or a long-term leasehold interest in the property. Attach as **Exhibit III.A.**

No

3. Does the project involve construction within a railroad's right-of-way or over a railroad crossing?

Yes (explain in **Exhibit III.A.**)

No

4. Does the project include construction of a highway owned by a State or local government (other than the applicant)?

Yes (explain in **Exhibit III.A.**)

No

## B. Sale or Lease

1. Does the applicant intend to sell, lease, transfer, dedicate or otherwise convey any interest in the project facilities, underlying land, or any land improved with EDA investment assistance?

Yes (explain in **Exhibit III.B.**)

No

2. Is the purpose of the project to construct facilities to serve industrial or commercial parks or sites owned by the applicant for sale or lease to private parties?

Yes (explain in **Exhibit III.B.** how EDA's requirements will continue to be met after any sale or lease).

No

3. Is the purpose of the project to construct facilities to serve privately-owned industrial or commercial parks or sites for sale or lease?

If yes, explain in **Exhibit III.B.** how EDA's requirements will continue to be met after the sale or lease. Note that EDA may require evidence that the private party has title to the park or site prior to such sale or lease, and may condition the award of investment assistance upon assurances by the private party relating to the sale or lease that EDA determines are necessary to assure consistency with the project purpose(s).

No

## C. Ownership, Operation and Maintenance

1. Will any of the facilities funded by EDA be owned by an entity other than the applicant?

Yes (explain in **Exhibit III.C.**)

No

2. Does the project include the acquisition or improvement of significant items of tangible personal property?

Yes (explain in **Exhibit III.C.**)

No

3. Does the applicant intend to mortgage or use the project facilities or underlying land to collateralize any type of financing, including but not limited to bonds or tax credits?

Yes (explain in **Exhibit III.C.**)

No

4. Will operation and maintenance responsibilities for the project be retained by the applicant?

Yes (explain in **Exhibit III.C.**)

No

#### **D. Calculation of Estimated Relocation and Land Acquisition Expenses**

All applicants must complete and provide as **Exhibit III.D.** the "Calculation of Estimated Relocation and Land Acquisition Expenses" form and enter the estimated total for "Costs Incidental to Land Acquisition" (line item 1) on line item 3 ("Relocation expenses and payments") on Form SF-424C (Budget Information - Construction Programs). This is separate from the estimated purchase price of the property. If the proposed project will cause the displacement of individuals, families, businesses or farms, explain how the procedure will comply with 13 C.F.R. § 302.5. See Form SF-424D, Assurances - Construction Programs.

### **PART IV - ENVIRONMENTAL REQUIREMENTS**

Federal agencies are required by law to assess the expected environmental impacts associated with proposed federal actions. It is extremely important that the information provided in response to the below questions be sufficient in detail to permit EDA to perform its evaluation. The information requested is designed to obtain an understanding of the present environmental condition and the project's elements that will affect the environment. If you believe that an item does not apply to the proposed project, consult your Economic Development Representative (EDR) or the EDA regional office servicing your region prior to responding. In all cases where it is asserted that an item is not applicable, explain the reasons for this assertion.

It is important to understand the comprehensive nature of the information required to complete an environmental review in accordance with the National Environmental Policy Act (NEPA). Information must be provided for the: (a) site(s) where the proposed project facilities will be constructed and the surrounding areas affected by its operation; and (b) areas to be affected by any primary beneficiaries of the project. The information submitted must be sufficient to evaluate all reasonable alternatives to the proposed project, the direct and indirect environmental impacts, as well as the cumulative impacts on the environment as defined in the regulations for implementing the procedural provisions of NEPA (see 40 C.F.R. parts 1500-1508). The level of detail should be commensurate with the complexity and size of the project, and the magnitude of the expected impact. Previously completed environmental impact documentation (assessments, impact statements, etc.) for activities in the region in which the proposed project will be located may be included as source material in the environmental exhibits required in the application.

#### **A. Environmental Narrative**

**Provide responses to the following items in the order listed below and attach as Exhibit IV.A.**

##### **1. Beneficiaries**

Identify any existing businesses or major developments that will benefit from the proposed project, and those which will expand or locate in the area because of the project.

##### **2. Area Description**

Describe the general project area, including topography, economic history, historic land usages, unique geologic features, etc. In addition to maps, which may have been submitted as preliminary proposal exhibits and which should be updated if necessary, attach the following:

a. Attach as **Exhibit IV.A.1.** the FEMA flood plain and USGS topographic maps with legend(s), showing the project location and boundaries, existing and proposed project components and location of all sites and/or companies benefiting from the proposed project. The document should be of sufficient size and clarity for adequate interpretation of the applicant's intentions (originals are preferred).

b. Attach as **Exhibit IV.A.2.** site photos and/or an aerial photograph of the site, if available.

### 3. Alternatives to the Proposed Project

Provide a detailed description of alternative actions which were considered during project development processes (e.g., alternative locations, designs, other projects having similar benefits, or a "no project" alternative), and explain why this project/site was selected as the preferred alternative with respect to the other choices.

### 4. Shorelines, Beaches and Dunes, Estuary, Wetlands and Floodplains

Identify any shorelines, beaches, dunes, estuaries, wetlands, or flood plain areas located within or adjacent to the project site(s). Indicate potential impact from proposed project activities and specify proposed measures to mitigate probable impacts. Contact the U.S. Army Corps of Engineers/U.S. Fish and Wildlife Service concerning any presumable wetland resources in or near the project location. If wetlands are impacted, a permit from the U.S. Army Corps of Engineers may be required. Indicate if the applicant participates in the National Flood Insurance Program.

### 5. Vegetation and Wildlife Resources

Identify native vegetation and wildlife found in the project area or its immediate vicinity.

a. Identify any designated State and National Parks, or National Game Preserves located on or in the vicinity of the proposed project activities.

b. Identify any Wilderness Areas, as designated or proposed under the Wilderness Act, or wild or scenic rivers, as designated or proposed under the Wild and Scenic Rivers Act, that are located on or in the vicinity of the proposed project activities.

### 6. Endangered Species

Identify any endangered or threatened species of plants or animals, or critical habitats that have been identified in the project site(s) or its immediate vicinity. Contact the U.S. Fish and Wildlife Service and request comments on your proposal for EDA investment assistance.

### 7. Land Use and Zoning

Describe the present formal zoning designation and current land use of the specific project site and adjacent land parcels. These areas include the site of construction activities, adjacent areas, and areas affected by the primary beneficiaries. Land uses to be considered include, but are not limited to, industrial, commercial, residential, agricultural, recreational, woodlands, mines/quarries, and open spaces.

Identify agriculture land parcels designated as "prime/unique agricultural lands" by the U.S. Department of Agriculture under the Federal Farmlands Protection Act or a local equivalent.

### 8. Solid Waste Management

a. Indicate the types and quantities of solid wastes to be produced by the project facilities and primary beneficiaries.

b. Describe local solid waste collection and disposal methods, and their expected useful life.

c. Indicate if recycling or resource recovery programs are or will be used.

#### 9. Hazardous or Toxic Substances

a. Describe any toxic, hazardous, or radioactive substances which will be utilized or produced by the proposed project facilities and primary beneficiaries.

b. Describe the manner in which these substances will be stored, used or disposed.

c. Complete and sign the "Applicant Certification Clause" and attach as **Exhibit IV.A.9.**

#### 10. Water Resources

Describe surface or underground water resources at or near the project sites.

#### 11. Water Supply and Distribution Systems

Indicate the source, quality, and supply capacity of local domestic and industrial/commercial water resources, and the amount of water that project facilities and primary beneficiaries are expected to utilize.

#### 12. Wastewater Collection and Treatment Facilities

a. Describe all domestic class or process waste waters or other discharges associated with the project facilities and its primary beneficiaries, and the expected composition and quantities to be discharged either to a municipal system or to the local environment.

b. Describe the wastewater treatment facilities available for processing the additional effluent and indicate their design capacities and current loading, and their adequacy in terms of the degree and type of treatment required. Indicate all discharges which will require on-site pretreatment. Local treatment systems are or will be inadequate or overloaded, describe the steps being taken for necessary improvements and their completion dates.

c. Describe any induced changes in local surface water runoff patterns, and the status of storm water discharge permit process.

#### 13. Environmental Justice (Executive Order 12898)

Will this project result in disproportionate adverse human health or environmental impacts relative to minority and low income populations? If so, please explain.

#### 14. Streets, Traffic, Parking

a. Briefly describe the local street/road system serving the project sites and describe any new traffic patterns that may arise because of the project.

b. Indicate if land use in the vicinity, such as residential, hospital, school or recreational, will be affected by these new traffic patterns.

c. Indicate if any existing capacities of these transportation facilities will be exceeded as a direct or indirect result of this project implementation, particularly in terms of car and truck traffic.

#### 15. Air Quality

a. Is the proposed project site classified as a "non-attainment" area for any criteria pollutants? If yes, indicate types and quantities of air emissions (including odors) to be produced by the project facilities and its primary beneficiaries, and any measures proposed to mitigate adverse impacts.

b. Indicate any local topographical or meteorological conditions that hinder the dispersal of air emissions.

#### 16. Noise

Will operation of project facilities or primary beneficiaries' facilities increase local ambient noise levels? If yes, indicate the areas to be affected.

#### 17. Construction

Describe methods to be employed to reduce adverse impacts from construction, such as noise, dust generation, soil erosion and siltation.

#### 18. Permits

Identify any federal, State, or local permits of an environmental nature needed for the project (e.g., U.S. Army Corps of Engineers, U.S. Environmental Protection Agency, Coastal Zone Management/Shoreline Management, Air Quality, State Environmental Policy Act, etc.), and the status of any such permits. Attach copies of any such permits as **Exhibit IV.A.18**.

#### 19. Public Notification/Controversy

- a. Provide evidence of the community's awareness of the project, such as newspaper articles or public notification and/or public meetings, as applicable.
- b. If a formal public hearing has been held, attach a copy of the transcript as **Exhibit IV.A.19**.
- c. Fully describe any public controversy or objections which have been made concerning this proposed project and discuss steps taken to resolve such objections.

20. Cumulative effects (the impact on the environment which results from the incremental impact of the action when added to other past, present and reasonably foreseeable future actions regardless of what agency or person undertakes such actions (40 C.F.R. § 1508.7)).

- a. Identify direct and indirect effects of the proposed action;
- b. Which resources, ecosystems, and human communities are affected; and
- c. Which effects on these resources are important from a cumulative effects perspective.

### **B. Historic/Archaeological Resources**

Identify any known historic/archeological resources within the project site(s) that are either listed on the National Register of Historic Places or considered to be of local and State significance and perhaps eligible for listing on the National Register.

Applicants are required to provide the State Historic Preservation Officer (SHPO) with (i) a narrative description of the project's elements and its location; (ii) a map of the area surrounding the project, which identifies the project site, adjacent streets and other identifiable objects; (iii) line drawings or sketches of the project; and (iv) photographs of the affected properties if building demolition or renovation is involved. This material should be submitted to the SHPO prior to the submission of the application to EDA.

Additionally, the SHPO must be requested to submit comments on the proposed project to the appropriate EDA regional office. If comments from the SHPO have been received, they should be attached as **Exhibit IV.B**.

## PART V - ADDITIONAL PROGRAM REQUIREMENTS

All "Other Parties" (as defined in 13 C.F.R. § 302.20(b)) must execute the "Assurances of Compliance with Civil Rights and Other Legal Requirements" form, attached as **Exhibit V.A.** to the application. An applicant must submit this completed form with an Application for Investment Assistance.

## PART VI - MAXIMUM ALLOWABLE EDA INVESTMENT RATES

EDA investment assistance may not exceed fifty (50) percent of total eligible project costs, except as determined by EDA in accordance with 13 C.F.R. § 301.4. If your proposal requests EDA investment assistance that exceeds fifty (50) percent of total eligible project costs as shown in the pre-application or application budget, then you may be asked to provide additional information concerning the relative economic development needs of the region, in accordance with EDA's regulations. Follow the instructions below as they apply to your particular project (see the table of maximum allowable investment rates on the following page).

**A. For Public Works and Economic Development Investments (CFDA No. 11.300) and for Economic Adjustment Assistance Investments (CFDA No. 11.307),** see 13 C.F.R. § 301.4 for maximum allowable investment rates. Enter below the provision and maximum allowable investment rate that apply to the proposed project.

- The proposed project meets the criteria set forth in 13 C.F.R. § 301.4(b) subsection  for a maximum allowable investment rate of  percent.
- Additional information to justify a maximum allowable investment rate greater than fifty (50) percent is attached (if applicable) as **Exhibit VI.A.**
- Additional information to justify EDA investment assistance as supplemental assistance to another designated federal assistance program grant award is attached (if applicable). See 13 C.F.R. § 301.6.

**B. For Planning Investments (CFDA No. 11.302), National, Local, and University Center Technical Assistance Investments (CFDA No. 11.303), and for Research and Evaluation Investments (CFDA No. 11.312),** attach as **Exhibit VI.A.** a narrative to justify EDA investment assistance that exceeds fifty (50) percent of total eligible project costs. See 13 C.F.R. § 301.4(b)(3) for planning investments and 13 C.F.R. § 301.4(b)(4) for technical assistance and research and evaluation investments.

**PART VI (Continued) -  
TABLE OF MAXIMUM ALLOWABLE INVESTMENT RATES**

Type of Project	Maximum Allowable Investment Rate (Percentage)
Projects of Indian Tribes.	100
Projects under 13 C.F.R. part 307 located in Presidentially -Declared Disaster areas for which EDA receives an application for investment assistance for post-disaster economic recovery efforts pursuant to a supplemental appropriation within eighteen (18) months of the date of such declaration.	100
Projects of States or political subdivisions of States that the Assistant Secretary determines have exhausted their effective taxing and borrowing capacity, or Projects of non-profit organizations that the Assistant Secretary determines have exhausted their effective borrowing capacity.	100
Projects under 13 C.F.R. parts 305 or 307 that receive performance awards pursuant to 13 C.F.R. § 308.2.	100
Projects located in an Economic Development District that receive planning performance awards pursuant to 13 C.F.R. § 308.3.	100
Projects located in regions in which: The twenty-four (24) month unemployment rate is at least 225% of the national average; or The per capita income is not more than 50% of the national average.	80
Projects located in regions in which: The twenty-four (24) month unemployment rate is at least 200% of the national average; or The per capita income is not more than 60% of the national average.	70
Projects located in regions in which: The twenty-four (24) month unemployment rate is at least 175% of the national average; or The per capita income is not more than 65% of the national average.	60
Projects located in regions in which: The twenty-four (24) month unemployment rate is at least 1% greater than the national average; or The per capita income is not more than 80% of the national average.	50

# CHECKLIST OF PROGRAM EXHIBITS

This checklist identifies all of the exhibits in the Construction section of the application. Those with an asterisk (\*) are preprinted forms. The exhibit number refers to the specific section of the application. Check all of the exhibits submitted with the application.

## Part I - Program Requirements

<input type="checkbox"/>	I.A. Metropolitan Area Review
<input type="checkbox"/>	I.B. Regional Eligibility Requirements
<input type="checkbox"/>	I.C.1. Comprehensive Economic Development Strategy (CEDS)
<input type="checkbox"/>	I.C.2. CEDS-related narrative description
<input type="checkbox"/>	I.C.3. Identification of special circumstances
<input type="checkbox"/>	I.D. Certification regarding District Organization's administration of the project

## Part II - Architecture/Engineering Information

<input type="checkbox"/>	II.A. Engineering report for construction investments
<input type="checkbox"/>	II.B. Projects for design and engineering work

## Part III - Ownership/Operation/Financing

<input type="checkbox"/>	III.A. Ownership/Operation/Financing
<input type="checkbox"/>	III.B. Sale or Lease
<input type="checkbox"/>	III.C. Ownership, Operation and Maintenance
<input type="checkbox"/>	III.D. *Calculation of Estimated Relocation and Land Acquisition Expenses

## Part IV - Environmental Requirements

<input type="checkbox"/>	IV.A. Environmental Narrative (including responses to questions 1-20)
<input type="checkbox"/>	IV.A.1. FEMA flood plain and USGS topographic maps
<input type="checkbox"/>	IV.A.2. Site photos and/or aerial photograph of the project site
<input type="checkbox"/>	IV.A.9. *Applicant Certification Clause
<input type="checkbox"/>	IV.A.18. Permits
<input type="checkbox"/>	IV.A.19. Formal public hearing transcript
<input type="checkbox"/>	IV B. Comments from the State Historic Preservation Officer (SHPO)

## Part V - Additional Program Requirements

<input type="checkbox"/>	V.A. Assurances of Compliance with Civil Rights and Other Legal Requirements
--------------------------	--

## Part VI - Maximum Allowable EDA Investment Rates

<input type="checkbox"/>	VI.A. Request for EDA Investment Assistance exceeding fifty (50) percent of total eligible project costs
--------------------------	--

**ASSURANCES OF COMPLIANCE**  
**With Civil Rights and Other Legal Requirements**  
 (To Be Executed by "Other Parties")

"Other Party" is herein defined as an entity which (or which is intended to) creates and/or saves fifteen (15) or more permanent jobs as a result of the EDA investment assistance; provided that such entity is also either specifically named in the application as benefiting from the project, or is or will be located in a building, port, facility, or industrial, commercial or business park constructed or improved in whole or in part with EDA investment assistance prior to EDA's final disbursement of funds. See 13 C.F.R. § 302.20.

<input type="text"/>	<input type="text"/>
Grant Applicant's Name:	"Other Party" Name:
<input type="text"/>	<input type="text"/>
Address:	Phone Number:

The obligations incurred under this form apply only to the building, port, facility, or industrial, commercial or business park constructed or improved in whole or in part with investment assistance from the Economic Development Administration (EDA). This form must be executed by an "Other Party" who satisfies the following conditions:

- The "Other Party" will (or intends to) create and/or save fifteen (15) or more permanent jobs (estimated number of jobs ) as a result of the EDA investment assistance; and (check applicable section)
- (a) is specifically named in the application for EDA investment assistance as benefiting from the project; or
- (b) is or will be located in a building, port, facility, or industrial, commercial or business park constructed or improved in whole or in part with EDA investment assistance before EDA has made its final disbursement of EDA funds.

**ASSURANCES OF COMPLIANCE WITH THE U.S. DEPARTMENT OF COMMERCE AND EDA REGULATIONS (13 C.F.R. § 302.20) UNDER SECTION 601 OF TITLE VI OF THE CIVIL RIGHTS ACT OF 1964, SECTION 112 OF PUBLIC LAW 92-65, TITLE IX OF THE EDUCATION AMENDMENTS OF 1972, SECTION 504 OF THE REHABILITATION ACT OF 1973, AND THE AGE DISCRIMINATION ACT OF 1975, ALL AS AMENDED.**

The "Other Party" assures that it will comply with Section 601 of Title VI of the Civil Rights Act of 1964, as amended (42 U.S.C. 2000d *et seq.*), and the U.S. Department of Commerce's implementing regulations found at 15 C.F.R. part 8, and any amendments thereto.

The "Other Party" agrees to comply with the provisions of Section 112 of Public Law 92-65 (42 U.S.C. 3123) and 42 U.S.C. 6709, and the U.S. Department of Commerce's implementing regulations found at 15 C.F.R. §§ 8.7-8.15, and any amendments thereto.

The "Other Party" agrees to comply with Section 504 of the Rehabilitation Act of 1973 (29 U.S.C. 794) and the U.S. Department of Commerce's implementing regulations found at 15 C.F.R. part 8b; Title IX of the Education Amendments of 1972 (20 U.S.C. 1681 *et seq.*); the Age Discrimination Act of 1975 (42

U.S.C. 6101 *et seq.*) and the U.S. Department of Commerce's implementing regulations found at 15 C.F.R. part 20, and the non-discrimination on the basis of age regulations found at 45 C.F.R. part 90.

Such requirements hold that no person in the United States shall on the ground of race, color, national origin, sex, handicap, or age be excluded from participation in, be denied the benefits of, or be otherwise subjected to discrimination under, any program or activity for which federal financial assistance has been extended.

In accordance with these assurances and without limiting the above, the "Other Party" agrees that these assurances shall be binding upon it, its grantees, assignees, transferees, lessees, and successors in interest. These assurances shall also be binding through any modification or amendment to the financial assistance award or to the project.

The "Other Party" acknowledges that it is aware that if there appears to be a failure or threatened failure to comply with these assurances, and if the noncompliance or threatened noncompliance cannot be corrected by informal means, compliance may be effected by the suspension or termination of, or refusal to grant or to continue, federal financial assistance, or by any other means authorized by law.

**NOTICE**

This form must be executed by an official authorized to make the aforementioned assurances contained herein, with full authority to bind the "Other Party" identified herein. If the "Other Party" is a corporation, this form must be executed by a corporate officer or person so authorized to make such assurances, and the title block must clearly indicate such authority. Assurance forms executed by employees other than corporate officers will not be accepted unless they are accompanied by a separate certification signed by a corporate officer or corporate counsel stating that the assurator has full authority to legally bind the "Other Party" identified below. In the case of an individual executing this assurance form as sole owner, the sole owner's title must be indicated. For circumstances other than those discussed herein, contact the EDA regional office for instructions.

**ACCEPTANCE OF ASSURANCES OF COMPLIANCE**

These assurances are made binding for:

<input type="text"/>	<input type="text"/>
Name of "Other Party":	Address:
<input type="text"/>	
Telephone Number:	
<input type="text"/>	<input type="text"/>
By:	*(Title of Corporate Officer)
<input type="text"/>	<input type="text"/>
(Signature of Official)	(Date)

\* If the person signing this form is not a corporate officer, the company's corporate officer or corporate counsel must certify in writing that the signatory is authorized to legally bind the company. Written certification should be attached to this form.

**--WARNING--**

False statements or representations made in connection with the "ASSURANCES OF COMPLIANCE" are a violation of federal law punishable by a fine of not more than \$10,000 or by imprisonment for not more than five years, or both (see 42 U.S.C. 3220; 18 U.S.C. 1001).

**APPLICANT CERTIFICATION CLAUSE**

The applicant represents and certifies that it has used due diligence to determine that the description of the project "site" described herein is accurate with respect to the presence or absence of contamination from toxic and hazardous substances. The term "site" includes the entire scope of the project, including future phases of the project and all areas where construction will occur.

1. Is the site currently, or has it in the past 50 years, been used for any of the following operations or activities:

a. Generation of hazardous substances or waste;

YES  NO

b) Treatment, storage (temporary or permanent), or disposal of solid or hazardous substances or waste;

YES  NO

c) Storage of petroleum products;

YES  NO

d) Used/waste oil storage or reclamation units;

YES  NO

e) Research or testing laboratory;

YES  NO

f) Ordnance research, testing, production, or storage;

YES  NO

g) Chemical manufacturing or storage;

YES  NO

h) Military weapons or ammunition training or testing;

YES  NO

i) Iron works/foundry;

YES  NO

j) Railroad yard;

YES  NO

k) Industrial or manufacturing operation;

YES  NO

**\*If any of the above operations ever occurred at the site, and appropriate cleanup or other action was performed in accordance with the local, State and federal laws, provide documentation of such cleanup.**

2. Do wells draw water from an underlying aquifer to provide the local domestic water supply?

YES  NO

3. Has a federal, State or local regulatory authority ever conducted an environmental assessment, environmental impact statement, or a preliminary assessment/site inspection, or similar environmental surveyor inspection report at the site? If yes, provide copies of reports or results.

YES  NO

4. Have any environmental or OSHA citations or notices of violation been issued to the facility? If yes, provide copies.

YES  NO

5. Have any unauthorized releases of hazardous substances occurred at the facility which resulted in notification to the EPA's National Response Center? If yes, what was the nature of the release.

YES  NO

6. Is asbestos containing material currently in the facility? If yes, describe and provide information concerning State and federal regulatory compliance.

YES  NO

7. Is there any equipment (electrical transformers, etc.) containing polychlorinated biphenyls (PCB) on the site? If yes, describe condition of the equipment (e.g., leaking, etc.).

YES  NO

8. Are there underground storage tanks on the site? If yes, provide a detailed explanation, including the number of underground storage tanks on the site, whether the tanks have been inspected (or removed) and the results of such inspections.

YES  NO

9. Has the facility been tested for radon? If yes, provide results.

YES  NO

10. Have there been or are there now any environmental investigations by federal, State or local government agencies that could affect the site in question? If yes, provide available information.

YES  NO

The applicant acknowledges that this certification regarding hazardous substances and/or waste is a material representation of fact upon which EDA relies when executing this award. EDA reserves the right to terminate the award, if at any time during the useful life of the project it becomes aware that hazardous substances or waste are present thereon, or that such hazardous substances, or waste have been inappropriately handled thereon.

Further, if it is determined at any time that the presence of hazardous substances or waste, or inappropriate handling thereof, has been misrepresented, EDA may pursue other available legal remedies against the applicant.

<b><i>Signature of Authorized Representative</i></b>	<b><i>Title</i></b>
	<b><i>Date</i></b>

## CALCULATION OF ESTIMATED RELOCATION AND LAND ACQUISITION EXPENSES

### ITEM 1. COSTS INCIDENTAL TO LAND ACQUISITION - ESTIMATES

Number of land transactions involved (including options, easements and rights-of-way):

Recording fees, transfer taxes, surveys, appraisals, title search and similar expenses-Section 303(1)	\$	<input type="text"/>
Penalty costs-Section 303(2)	\$	<input type="text"/>
Real Property taxes-Section 303(3)	\$	<input type="text"/>
Litigation expenses-Section 304(a)	\$	<input type="text"/>
Total - Estimated costs incidental to transfer of title	\$	<input type="text"/>

### ITEM 2. RELOCATION - ESTIMATES

#### a. TENANTS - Estimates: Number of Claims

(1) Moving Expenses:	\$	<input type="text"/>
"Actual Expenses" - Section 202(a)(I)	\$	<input type="text"/>
In lieu payments-Section 202(b)	\$	<input type="text"/>
Total	\$	<input type="text"/>
(2) Replacement housing payments:	\$	<input type="text"/>
Rental payments-Section 204( I)	\$	<input type="text"/>
Down payment-Section 204(2)	\$	<input type="text"/>
Total	\$	<input type="text"/>

(3) Total Estimated Tenants

#### b. OWNER-OCCUPANTS - Estimates: Number of Claims

(1) Moving expenses:		
"Actual expenses" -Section 202(a)(I)	\$	<input type="text"/>
In lieu payments-Section 202(b)	\$	<input type="text"/>
Total	\$	<input type="text"/>
(2) Replacement housing payments:		
Purchase payments-Section 203(a)(I)	\$	<input type="text"/>
Reasonable replacement costs-Section 203(a)(I )(A)	\$	<input type="text"/>
Increased interest costs-Section 203(a)(I)(B)	\$	<input type="text"/>
Closing costs-Section 203(a)(I )(C)	\$	<input type="text"/>
Rental payments-Section 204( I)	\$	<input type="text"/>
Down payment-Section 204(2)	\$	<input type="text"/>
Total	\$	<input type="text"/>
(3) Total Estimated Owner-Occupants	\$	<input type="text"/>

**CALCULATION OF ESTIMATED RELOCATION AND LAND ACQUISITION EXPENSES****c. BUSINESS - Estimates: Number of Claims**

Moving Expenses:

"Actual" expenses-Section 202(a)(1)	\$	<input type="text"/>
"Actual" loss of tangible personal property-Section 202(a)(2)	\$	<input type="text"/>
"Actual" searching expenses-Section 202(a)(3)	\$	<input type="text"/>
In lieu payments-Section 202(c)	\$	<input type="text"/>
Total - Estimated Business	\$	<input type="text"/>

**d. NONPROFIT ORGANIZATIONS - Estimates: Number of Claims**

Moving Expenses:

"Actual" expenses-Section 202(a)(1)	\$	<input type="text"/>
"Actual" loss of tangible personal property-Section 202(a)(2)	\$	<input type="text"/>
"Actual" searching expenses-Section 202(a)(3)	\$	<input type="text"/>
In lieu payments-Section 202(c)	\$	<input type="text"/>
Total - Estimated Nonprofit Organizations	\$	<input type="text"/>

**e. FARM OPERATIONS - Estimates: Number of Claims**

Moving Expenses:

"Actual" expenses-Section 202(a)(1)	\$	<input type="text"/>
"Actual" loss of tangible personal property-Section 202(a)(2)	\$	<input type="text"/>
"Actual" searching expenses-Section 202(a)(3)	\$	<input type="text"/>
In lieu payments-Section 202(c)	\$	<input type="text"/>
Total - Estimated Farm Operations	\$	<input type="text"/>

**f. ADVISORY SERVICES - Estimates: Number of Claims**

Total - Expenses of grantee/borrower-Section 205	\$	<input type="text"/>
--	----	----------------------

**g. ADMINISTRATION - Estimates: Number of Claims**

Contracting with individual, firm, association, or corporation-Section 212	\$	<input type="text"/>
Agreement w/ Federal or State government agency or instrumentality-Section 212	\$	<input type="text"/>
Total - Estimated Administration	\$	<input type="text"/>

**ITEM 3. GRAND TOTAL**

Enter the sum of Items 1 and 2 (parts (a) through (g)) in this Item and also on line 3 of the Detailed

<b>GRAND TOTAL RELOCATION EXPENSES</b>	\$	<input type="text"/>
--	----	----------------------