THE OFFICE OF INNOVATION & ENTREPRENEURSHIP

HE Build Scale

2021 B2S General Webinar February 2021



Office of Innovation & Entrepreneurship

TOPICS TO BE COVERED

Who We Are
Build to Scale 2021 Overview
Application Information
Timeline



SECTION 27 OF THE STEVENSON WYDLER TECHNOLOGY ACT

- Strengthen regional innovation initiatives
- Build innovation-based business ventures
- Scale new products, processes, and services in the market
- Achieve measurable economic impacts such as new business starts, jobs, venture capital raised in the region, and more



Who do we fund?

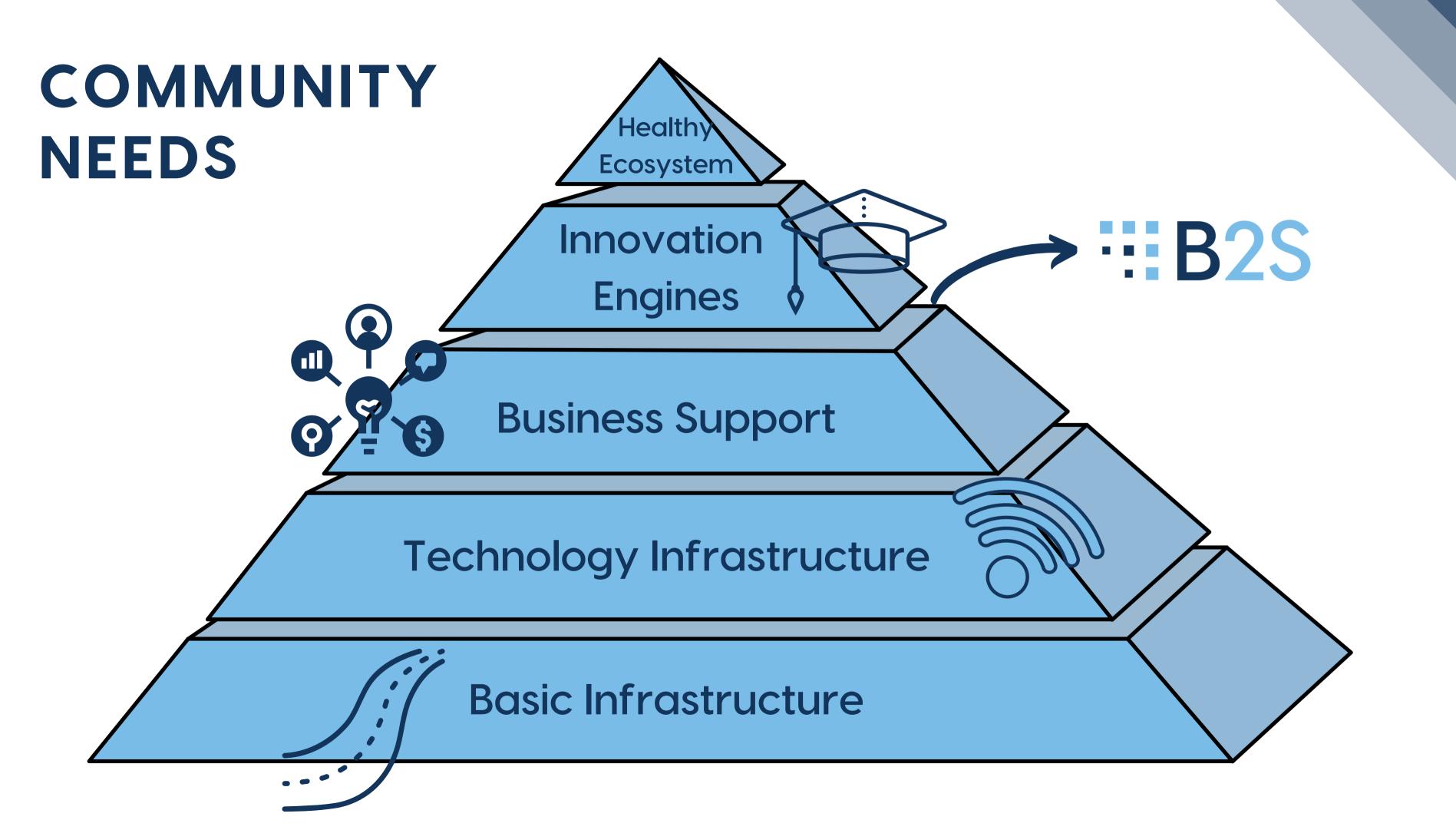




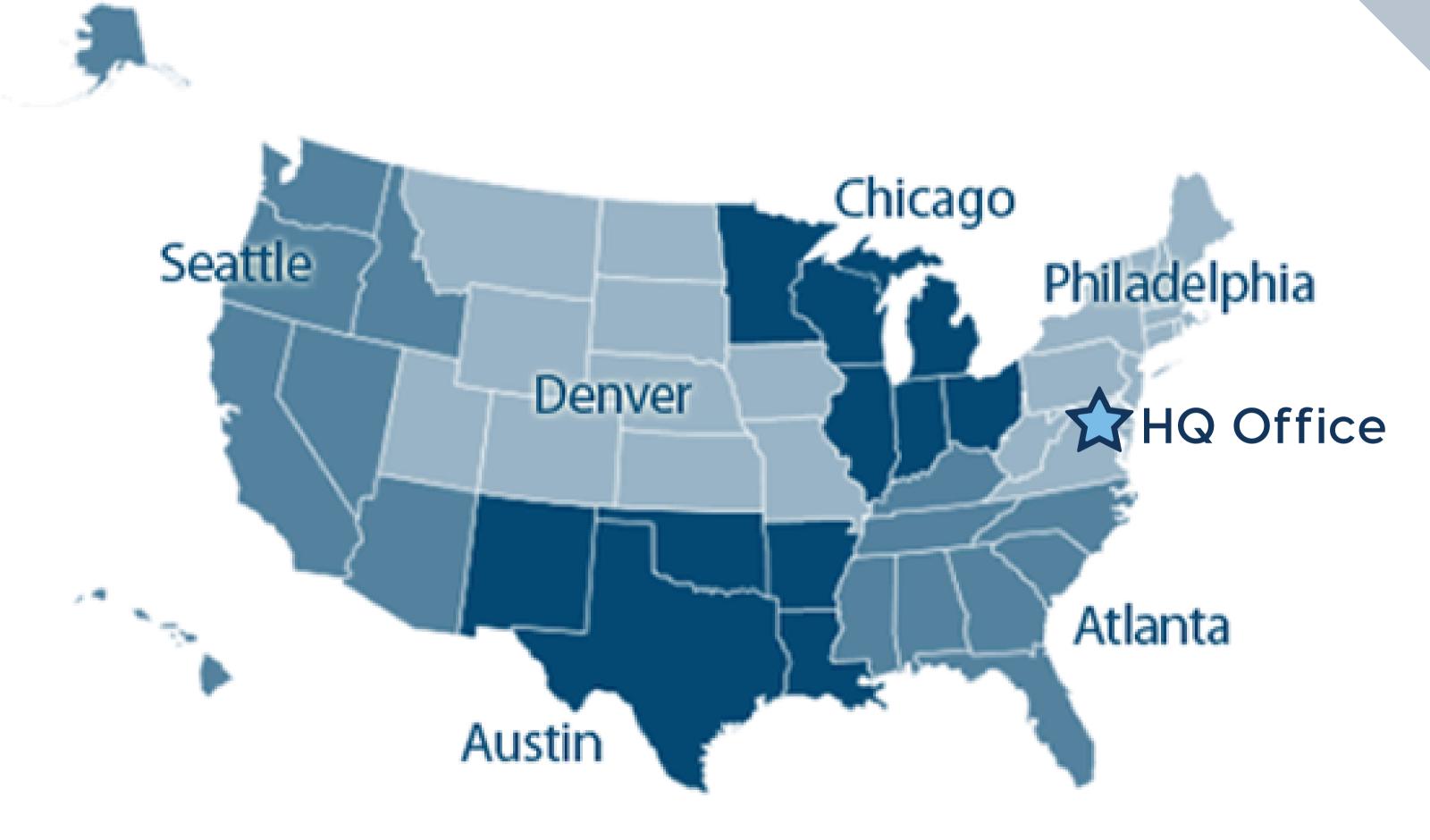
Scalable Companies







ECOSYSTEM gram, regional collaboration



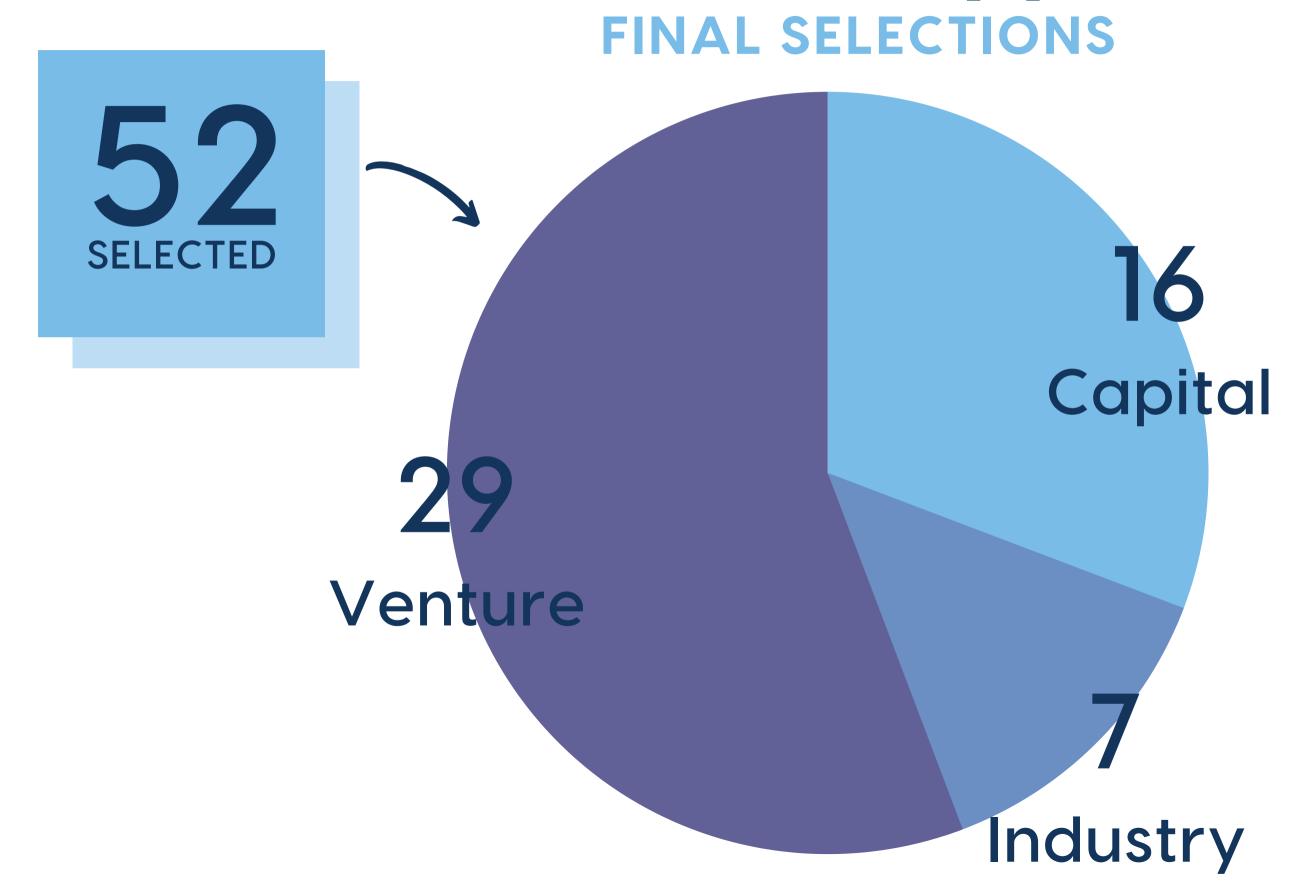


THE NUMBERS

Where did we land?



FY20 Funded Applications





The Numbers

COMPLETE PORTFOLIO TO DATE



Coverage in all 50 states + 2 territories

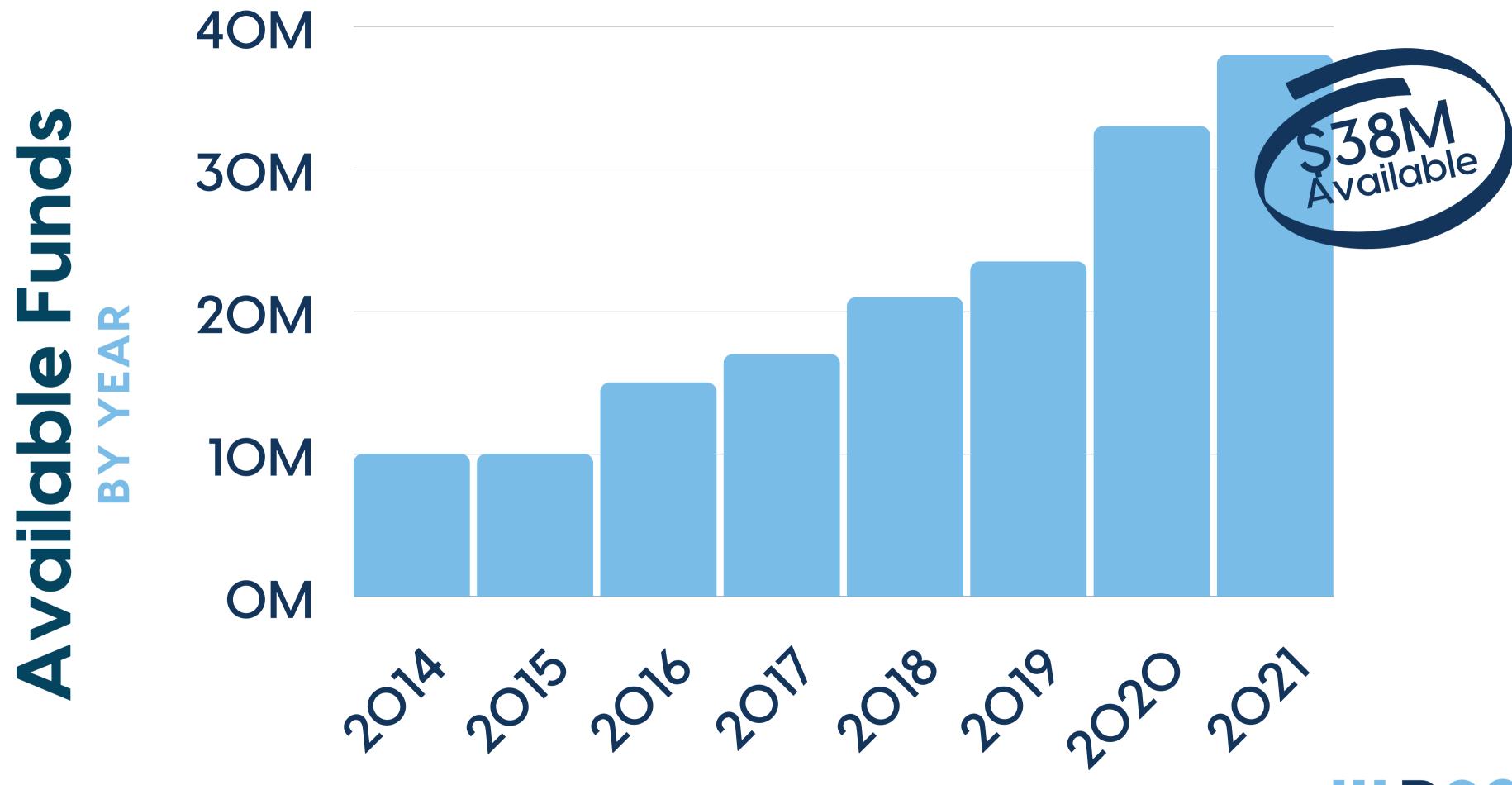


277 grants made to date



\$135M in federal dollars deployed

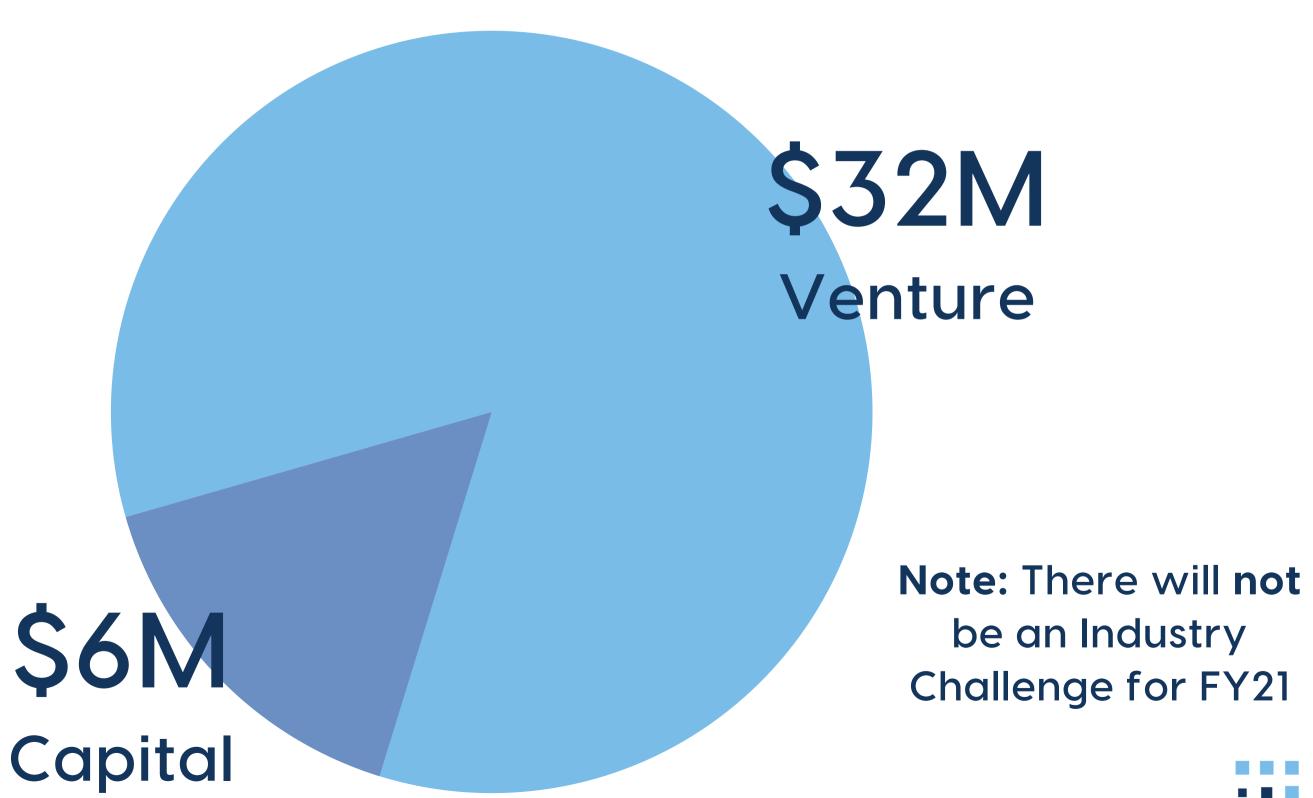






FY21 Competitions

VENTURE AND CAPITAL





VENTURE CHALLENGE

Seeks to support entrepreneurship and accelerate company growth in communities, regions, or combinations of regions.



Venture Challenge

ORGANIZATIONS SHOULD BE SUPPORTING COMPANIES THAT ARE...



Scalable by nature



Challenging the status quo of markets



Commercializing technology



Furthering job creation





Venture Challenge

SINGLE COMPETITION, TWO FUNDLING LEVELS

BUILD

- Piloting a solution to a demonstrated need
- Implementing a proven solution for a new community or region
- Up to \$750k over 3 years

SCALE

- Scaling an existing initiative with proven impacts
- Proven track record of successful program deployment
- Up to \$1.5M over 3 years



CAPITAL CHALLENGE

Seeks to increase access to capital in communities, sectors, or regions where risk capital is in short supply.



Capital Challenge

PROVIDES OPERATIONAL SUPPORT FOR...

The formation, launch, or scale of investment funds that seek to invest their capital in scalable startups

Organizations with a goal to expand capital deployment within a community, region, or regional industry (i.e angel networks or investor training programs)





Capital Challenge

SINGLE COMPETITION, SINGLE FUNDLING LEVEL

Grant funds are to be used to increase access to capital in communities, sectors, or regions where risk capital is in short supply and can cover programmatic and operating expenses.



Up to \$400k over 3 years





Build to Scale Program

KEEP IN MIND...



Funding CANNOT go directly to startups



You may only submit 1 application per challenge



You must be an eligible entity to apply



1:1 match is REQUIRED



Don't try to be

THE SILCON VALLEY OF...

(fill in the blank)

TECHNICAL REQUIREMENTS

Don't get knocked out by a technical mistake.

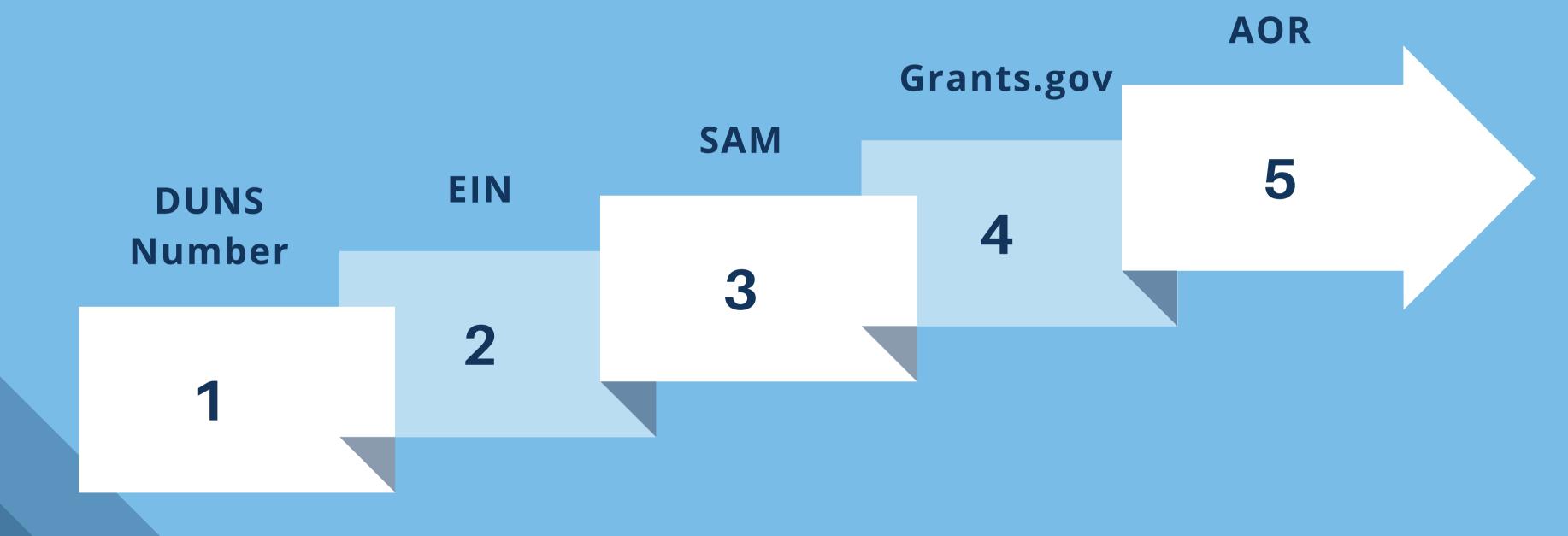
Read the Notice of Funding Opportunity thoroughly

and don't wait until the last minute to submit.



Application Systems

All of these are necessary to apply





Eligible Entities

Who is eligible to apply?

A State;

An Indian tribe;

A city or other political subdivision of a State;

An entity whose application is supported by a State or a political subdivision of a State and that is...

- a nonprofit organization
- _ an institution of higher education
- a public-private partnership
 - a science or research park
 - a Federal laboratory
 - a venture development organization
- an economic development organization A consortium of the above

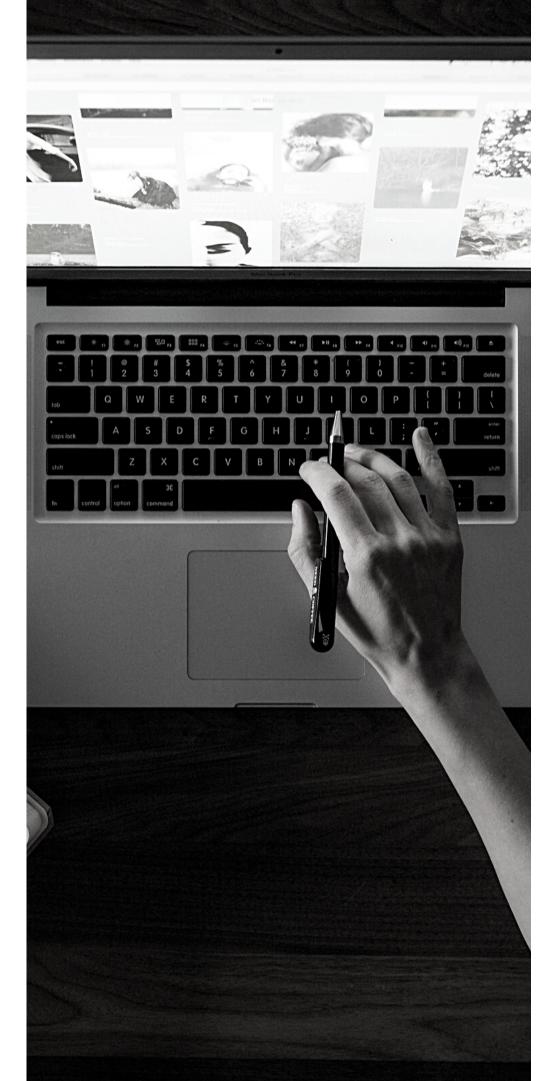




Technical Checklist

Use Appendix D to ensure you have documents - these can vary depending on your entity type

	nization, Institution of Higher Education, Public-Private Partner nt Organization, and Economic Development or Similar Organi	- ·			
Document	Title/Description/Requirements	Checklist			
Project Narrative	See section D.2.i.a (p. 9)	Application			
Budget Narrative and Staffing Plan	See section D.2.i.b (p. 11)	Application			
Matching Share Commitment Letters	See section D.2.i.c) (p. 12)	Source 1 Source 2 etc.		etc.	
State/Local Government Support	See section D.2.i.d (p. 12)	Application			
Form SF-424	Application for Federal Assistance	Applicant 1 Applicant 2		etc.	
Form SF-424A	Budget Information-Non-Construction Programs	Application			
Form CD-511	Certification Regarding Lobbying (if applicable)	Applicant 1	Applicant 2	etc.	
Form SF-LLL	Disclosure of Lobbying Activities (if applicable)	Applicant 1	Applicant 2	etc.	
SPOC/EO 12372 Compliance Documentation	See section D.2.ii.b.1) (p. 13) and https://www.whitehouse.gov/wp- content/uploads/2020/04/SPOC-4-13-20.pdf (if applicable)	State 1	State 2	etc.	
Organizational Documentation	E.g., certificates of good standing, articles of incorporation, bylaws, establishing authorities; see section D.2.ii.b.2) (p. 13)	Applicant 1	Applicant 2	etc.	
Public Private Partnership Documentation	If applicable, documentation defining the public private partnership which contains details of the agreement, including roles and responsibilities, and is signed by all parties. See 13. C.F.R. § 312.5 and the discussion of documentation for public-private partnerships in footnote 7 to section C.1	Application			
Indirect Cost Rate (ICR) Agreement	See section D.2.ii.b.3) (p. 14) Applicant 1 Applicant 2				



The Application

LINK TO ACCESS THE GRANTS.GOV PORTAL

The link to access the grants.gov portal can be found within the Notice of Funding Opportunity.



VIEW OPPORTUNITY



« Back | Link

Apply

Subscribe

SYNOPSIS

VERSION HISTORY

RELATED DOCUMENTS

PACKAGE

Print Package List



Select Grant Opportunity Package

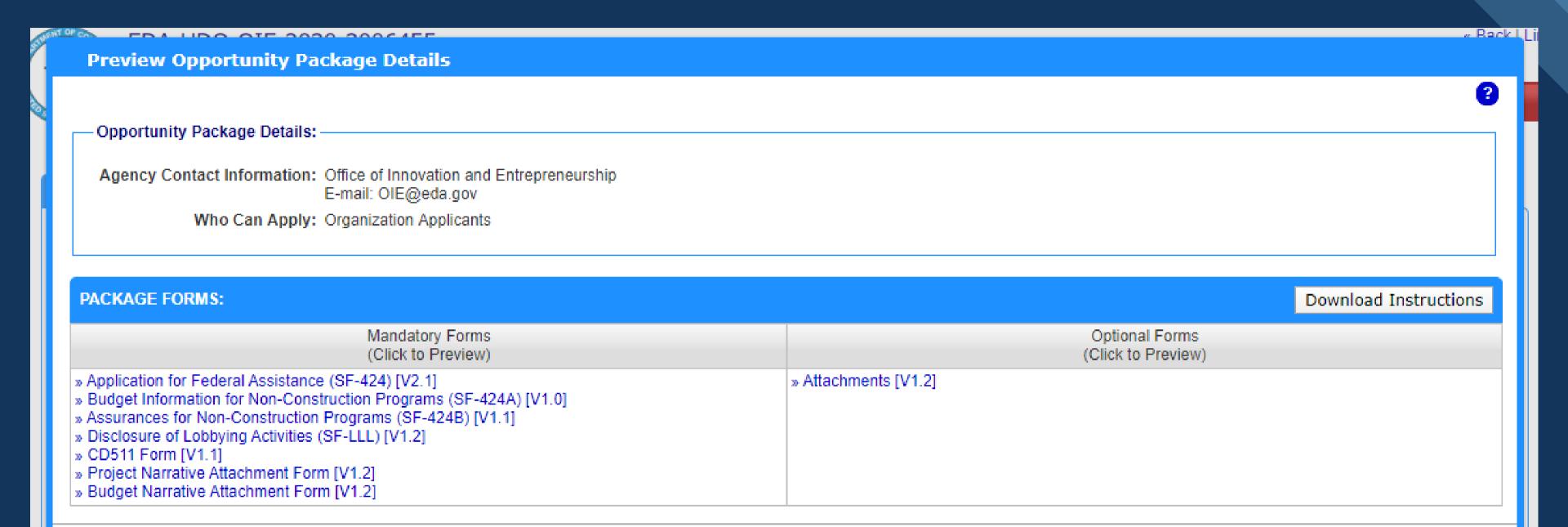
PLEASE READ BEFORE APPLYING!

If you view and complete your application package using Grants.gov downloadable PDF forms, you MUST have Adobe Reader installed. You may receive a validation error using incompatible versions of Adobe Reader. To prevent a validation error, it is now recommended you uninstall any earlier versions of Adobe Reader and install the latest compatible version of Adobe Reader. If more than one person is working on the PDF forms, ALL applicants must be using the same Adobe Reader version. Click for more information on Adobe Reader Compatibility.

Opportunity Package(s) Currently Available for this Funding Opportunity:

CFDA	Competition ID	Competition Title	Opportunity Package ID	Opening Date	Closing Date	Actions
11.020	2877468	Industry Challenge	PKG00261026	04/29/2020	06/14/2020	Preview Apply
11.020	2877446	Capital Challenge	PKG00261015	04/29/2020	06/14/2020	Preview Apply
11.020	2877445	Venture Challenge	PKG00261016	04/29/2020	06/14/2020	Preview Apply





Close





The Full Application

WHAT IS REQUIRED?

Review the information found in the FY2021 Notice of Funding Opportunity.





Application

Project Design and Substance
Project Narrative
Budget Narrative and Staffing Plan
Matching Share Commitment Letters
State/Local Government Support (if applicable)
Forms and Supporting Documentation
SF-424 (Application for Federal Assistance)
SF-424A (Budget Information Non-Construction Programs)
SF-424B (Assurances Non-Construction Programs) ⁹
CD-511 (Certification Regarding Lobbying)
SF-LLL (Disclosure of Lobbying Activities) (if applicable)
State Single-Point-of-Contact (SPOC)/Executive Order 12372 Compliance Documentation (if
applicable) ¹⁰
Organizational Documentation (if applicable) ¹¹
Indirect Cost Rate (ICR) Documentation (if applicable) ¹²

Project Narrative LIMIT OF 10 PAGES





Project Narrative

Specific elements to address...

- Project description and overview
- Ecosystem resources and assets
- Proposed solution
- Partnerships
- Measurable goals & feasibility
- Sustainability



Project Narrative

A competitive Project Narrative will...

- Be clear and concise
- Have a defined strategic gap it seeks to fill
- Include well defined partnerships and roles of those partnerships
- Contain key milestones + dates
- Identify an achievable scope of work
- Demonstrate the long term potential impact (including metrics)



Budget Narrative + Staffing Plan

RECOMMENDED LIMIT OF 4
PAGES





Budget Narrative + Staffing Plan

A template has been made available for your use (Appendix B)

- Identify and justify how funds in each line item of the budget will be used to support the project
- Staffing plan listing all positions charged to the award
- Line items should distinguish between federal and match shares
- Budget categories should match SF-424A
- Itemized valuation for in-kind funds



Match Commitment Letters

MATCH MAY BE CASH, IN-KIND, OR A COMBINATION





Match + Match Commitment Letters

Match may be cash, in-kind or a combination of both

- Match must be committed, available, and unencumbered
- Signed commitment letters are required
- Should be traceable to the budget narrative
- 1:1 or \$:\$ match is REQUIRED



Government Support RELATED TO THE PROJECT SERVICE AREA







Review Criteria

REVIEWERS WILL SCORE ON SIX CRITERIA



Ecosystem resources and assets



Proposed solution



Use of partner entities



Review Criteria

REVIEWERS WILL SCORE ON SIX CRITERIA



Measurable goals and sustainability



Budget and staffing plan



Alignment with B2S Program goals



NEXT STEPS WHAT'S NEXT FOR BUILD TO SCALE?







FEB TO APRIL

Application window is open

MAY TO JULY

Rewiews + award package creation

AUGUST 2021

Announcement of FY21

Build to Scale Grantees



Thank you questions?

Email: oie@eda.gov

